

Diligent Compliance:

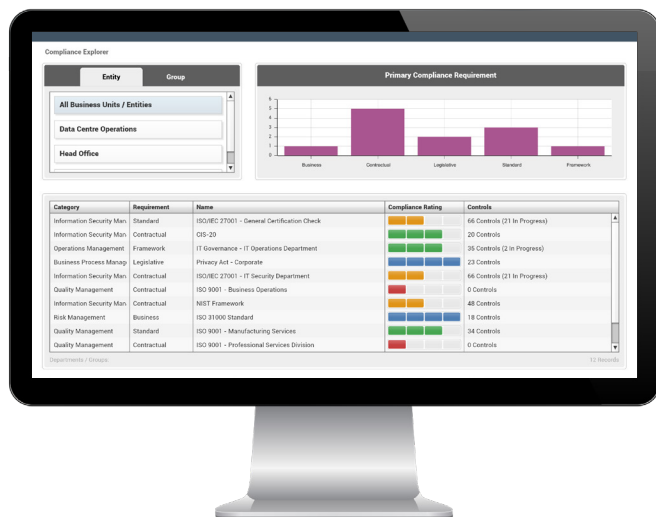
Confident Compliance and Risk Management Starts Here

Missed compliance obligations can have costly repercussions including: expensive fines and re-registrations, de-listings from public exchanges, and more. Diligent Compliance works with Diligent Entities and Diligent Boards to help companies—and the directors who oversee them—keep current, compliant, and confident.

At a glance, Diligent Compliance empowers organizations to:

- Centralize and measure compliance activities against internal obligations.
- Convert gaps into corrective and preventative action.
- Get the right information to the right people at the right time, for better decision-making.

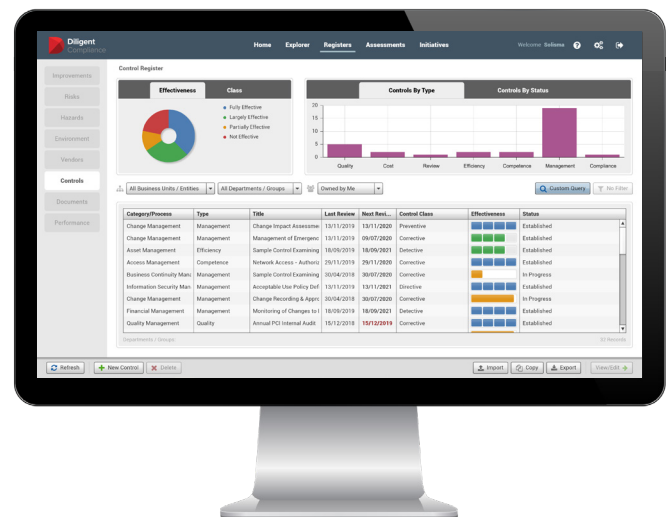
Diligent Compliance: Key Benefits



Be audit ready, always

Ensure that all entities are in good standing while streamlining the audit process and delivery.

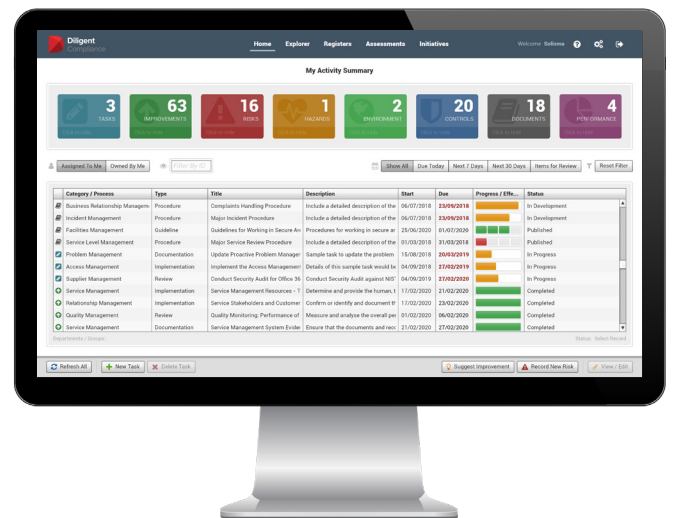
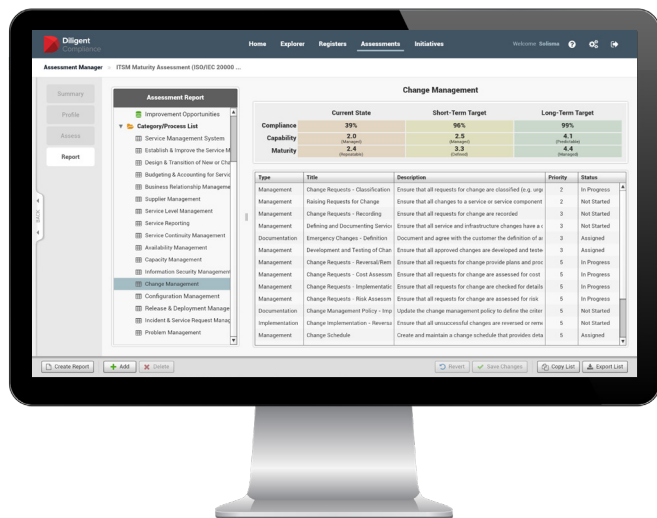
- View compliance-related obligations across your entire enterprise, by business priority, type, and jurisdictions.
- Swiftly facilitate access to accurate entity and compliance data.
- Track—and quickly act on—deficiencies and late filings.
- Deliver timely reports to regulators, auditors, and leadership.



Visualize program and policy effectiveness

Gain real-time insights into how your compliance program stacks up against internal and external obligations.

- Measure compliance against a library of internal frameworks and obligations.
- Collate and record evidence for each one.
- Achieve enterprise-wide visibility with dashboards and reports.



Know you have the right programs in place

Get the intelligence you need to identify gaps and improve.

- Receive a score based on existing controls and requirements.
- Develop a plan for remedial action.
- Assign, track, and manage tasks.
- Report on progress to relevant stakeholders.

Improve accuracy, productivity, and cost-effectiveness

Put people, processes, and technology to work more effectively.

- Replace inefficient manual methods with built-in processes.
- Easily access business-critical information with dashboards and reports.
- Feel supported with “white glove” customer service and get up and running in only 2-3 weeks.

Diligent Compliance

See how you're measuring up against requirements, swiftly address gaps, and communicate easily with regulators, auditors, and shareholders with:

- Dashboards and reports for visualizing program effectiveness
- A central library of internal frameworks and obligations
- Common controls that dynamically map to related obligations
- Automated review scheduling and notifications
- Built-in processes to streamline workflows and reduce inefficiencies

Learn more about Diligent's Security, Risk, and Compliance solutions or schedule a demo today:

REQUEST A DEMO

Email: ComplianceSales@diligent.com

Visit: learn.diligent.com/compliance